

LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO. 9261.16761-DIV	SERIAL NO. 09/669,104
	APPLICANT Aboul-Hosn	
	FILING DATE 25 September 2000	GROUP 3762

Customer No. 26308

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### U.S. PATENT DOCUMENTS

Examiner Initial	DOCUMENT NUMBER	Date	Name	Class	Subclass	Filing Date (If Appropriate)
<i>h</i>	Re 28,742	Mar 76	Rafferty et al.			
	2,669,668	Feb 54	Okulitch et al.			
	3,487,784	Jan 70	Rafferty et al.			
	3,608,088	Sep 71	Dorman et al.			
	3,626,947	Dec 71	Sparks			
	3,647,324	Mar 72	Rafferty et al.			
	3,771,527	Nov 73	Ruisi			
	3,864,055	Feb 75	Kletschka et al.			
	3,896,501	Jul 75	Bifano et al.			
	3,957,389	May 76	Rafferty et al.			
	3,970,408	Jul 76	Rafferty et al.			

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### FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
1 222 355 ✓	Jun 87	Canada			
1 240 802 ✓	Aug 88	Canada			
1 302 829 ✓	Jun 92	Canada			
1 308 319 ✓	Oct 92	Canada			
1 323 467 ✓	Oct 93	Canada			

### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	Takami, Yoshiyuki, et al., "Effect of Surface Roughness on Hemolysis in a Centrifugal Blood Pump", ASAIO Journal; vol. 42 pp. M858-N862.
✓	Takami, Yoshiyuki, et al., "Effect of Surface Roughness on Hemolysis in a Pivot Bearing Supported Gyro Centrifugal Pump (C1E3)", Artificial Organs; vol. 20, No. 11, pp. 1156-1161, 1996
✓	Takami, Yoshiyuki, et al., "Material of the Double Pivot Bearing System in the Gyro C1E3 Centrifugal Pump", Artificial Organs, vol. 21, No. 2, pp. 143-147, 1997.

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EXAMINER <i>h 522</i>	DATE CONSIDERED 5/2/04
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Examiner Initial	DOCUMENT NUMBER	Date	Name	Class	Subclass	Filing Date (If Appropriate)
Jm	3,995,617	Dec 76	Watkins et al.			
	4,086,665	May 78	Poirier			
	4,105,016	Aug 78	Donovan, Jr.			
	4,108,161	Aug 78	Samuels et al.			
	4,116,589	Sep 78	Rishton			
	4,118,806	Oct 78	Porier et al.			
	4,129,129	Dec 78	Amrine			
	4,135,253	Jan 79	Reich et al.			
	4,173,796	Nov 79	Jarvik			
	4,275,988	Jun 81	Kalasknikov et al.			
	4,382,199	May 83	Isaacson			

### FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
1 328 708	Apr 94	Canada			
280 225	Aug 88	EPO			
0157871 B1	Jul 90	EPO			
0445782	Sep 91	EPO			
0157859	Apr 92	EPO			

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### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	Takano, Hisateru, et al., "Ventricular Assist Systems: Experience in Japan with Toyobo Pump and Zeon Pump", Annals of Thoracic Surgery, 1996; 61:317-22.
✓	Tsukiya, Tomonori, "Use of Motor Current in Flow Rate Measurement for the Magnetically Suspended Centrifugal Blood Pump", Artificial Organs, vol. 21, No. 5, pp. 396-401, 1997.
✓	Allaire, P.E., et al., "Prototype Continuous Flow Ventricular Assist Device Supported on Magnetic Bearings", Artificial Organs, vol., No. 6, pp. 582-590, 1996.

EXAMINER <i>Angela S. V.</i>	DATE CONSIDERED 5/2/04
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### U.S. PATENT DOCUMENTS

Examiner Initial	DOCUMENT NUMBER	Date	Name	Class	Subclass	Filing Date (if Appropriate)
<i>lm</i>	4,403,983	Sep 83	Edelman et al.			
	4,451,252	May 84	Martin			
	4,507,048	Mar 85	Belenger et al.			
	4,512,726	Apr 85	Strimling			
	4,567,882	Feb 86	Heller			
	4,589,822	May 86	Clausen et al.			
	4,606,698	Aug 86	Clausen et al.			
	4,625,712	Dec 86	Wampler			
	4,648,865	Mar 87	Aigner			
	4,688,998	Aug 87	Olsen et al.			
	4,704,121	Nov 87	Moise			

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### FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
0306575	Mar 94	EPO			
0397668	Mar 94	EPO			
0611580	Aug 94	EPO			
0478635	Dec 94	EPO			
0629412	Dec 94	EPO			

### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<input checked="" type="checkbox"/>	Anai, Hirofumi, et al., 'Relationship Between Pump Speed Design and Hemolysis in an Axial Flow Blood Pump', Artificial Organs, vol. 20, No. 6, pp. 564-567, 1996.
<input checked="" type="checkbox"/>	Andrade, Aron, et al., 'Characteristics of a Blood Pump Combining the Centrifugal and Axial Pumping Principles: The Spiral Pump', Artificial Organs, vol. 20, No. 6, pp. 605-612, 1996.
<input checked="" type="checkbox"/>	Burgreen, Greg W., et al., 'A Design Improvement Strategy for Axial Blood Pumps Using Computational Fluid Dynamics', ASAIO Journal; 42:M354-M360, 1996.

EXAMINER <i>Joseph S. Maly</i>	DATE CONSIDERED 5/2/04
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Examiner Initial	DOCUMENT NUMBER	Date	Name	Class	Subclass	Filing Date (if Appropriate)
jm	4,705,501	Nov 87	Wigness et al.			
	4,753,221	Jun 88	Kensey et al.			
	4,769,031	Sep 88	McGough et al.			
	4,779,614	Oct 88	Moise			
	4,817,586	Apr 89	Wampler			
	4,844,707	Jul 89	Kletschka			
	4,846,152	Jul 89	Wampler et al.			
	4,895,557	Jan 90	Moise et al.			
	4,898,518	Feb 90	Hubbard et al.			
	4,906,229	Mar 90	Wampler			
	4,908,012	Mar 90	Moise et al.			

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### FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
0397720	Mar 95	EPO			
0659443	Jun 95	EPO			
0591208	Nov 95	EPO			
0699447	Mar 96	EPO			
0611580	Dec 96	EPO			

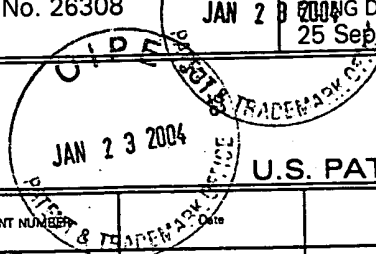
### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	Kaufmann, Ralf, et al., 'The Implantable Fuzzy Controlled Helmholtz-Left Ventricular Assist Device: First in Vitro Testing', Artificial Organs, Vol. 21, No. 2, pp. 131-137, 1997
✓	Kawahito, K., et al., 'Ex Vivo Evaluation of the NASA/DeBaKey Axial Flow Ventricular Assist Device', ASAIO Journal; 42:M754-M757, 1996.
✓	Khanwilker, Pratap, et al., 'Using Hybrid Magnetic Bearings to Completely Suspend the Impeller of a Ventricular Assist Device', Artificial Organs, vol. 20, No. 6, pp. 597-604, 1996.

<p>EXAMINER</p> <p style="text-align: center;"><i>[Signature]</i></p>	<p>DATE CONSIDERED</p> <p style="text-align: center;">5/2/04</p>
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Examiner Initial	DOCUMENT NUMBER	Date	Name	Class	Subclass	Filing Date (if Appropriate)
<i>jm</i>	4,919,647	Apr 90	Nash			
	4,925,377	May 90	Inacio et al.			
	4,927,407	May 90	Dorman			
	4,944,722	Jul 90	Carriker et al.			
	4,950,259	Aug 90	Geary et al.			
	4,955,861	Sep 90	Energren et al.			
	4,957,504	Sep 90	Chardack			
	4,969,865	Nov 90	Hwang et al.			
	4,984,972	Jan 91	Clausen et al.			
	4,985,014	Jan 91	Orejola			
	4,994,017	Feb 91	Yozu			

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### FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
0 768 091 ✓	Apr 97	EPO			
2233 293 ✓	Jan 73	Germany			
24 53 296 ✓	May 76	Germany			
286 145 ✓	Jan 71	Russian Federation			
545 358 ✓	Jul 77	Russian Federation			

### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	McCarthy, Patrick M., et al., "Permanent Mechanical Circulatory Support With an Implantable Left Ventricular Assist Device", Annals of Thoracic Surgery; 63:1458-61, 1997.
✓	Nishimura, Kazunobu, et al., "Development of a Magnetically Suspended Centrifugal Pump as a Cardiac Assist Device for Long-Term Application", ASAIO Journal pp. 68-71, 1996.
✓	Kubo, Hiroo, "Marine Propellers: The Latest Topics", Artificial Organs, vol. 21, No. 2, pp. 109-113, 1996.

EXAMINER <i>10/24/5/2/04</i>	DATE CONSIDERED <i>5/2/04</i>
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U.S. PATENT DOCUMENTS

Examiner Initial	DOCUMENT NUMBER	Date	Name	Class	Subclass	Filing Date (if Appropriate)
Jm	4,994,078	Feb 91	Jarvik			
	4,995,857	Feb 91	Arnold			
	5,009,636	Apr 91	Wortley et al.			
	5,011,380	Apr 91	Kovacs			
	5,019,102	May 91	Hoene			
	5,044,369	Sep 91	Sahota			
	5,044,897	Sep 91	Dorman			
	5,049,134	Sep 91	Golding et al.			
	5,053,004	Oct 91	Markel et al.			
	5,055,005	Oct 91	Kletschka			
	5,061,256	Oct 91	Wampler			

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
WO 85/01432 ✓	Apr 85	WIPO			
WO 85/01436 ✓	Apr 95	WIPO			
WO 88/07842 ✓	Oct 88	WIPO			
WO 89/04644 ✓	Jun 89	WIPO			
WO 89/04645 ✓	Jun 89	WIPO			

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	Nakazawa, Tadashi, et al., "The Development of Pivot Bearing Supported Sealless Centrifugal Pump for Ventricular Assist", Artificial Organs, vol. 20, No. 6, pp. 485-490, 1996
✓	Nakazawa, Tadashi, et al., "The Effect of the Impeller-Drive Magnetic Coupling Distance on Homolysis in a Compact Centrifugal Pump", Artificial Organs, vol. 20, No. 3, pp. 252-257, 1996.
✓	Rosenfeldt, Franklin L., et al., "A Novel Valveless Rotary Pump for Cardiac Assist", Artificial Organs, vol. 21, No. 5, pp. 420-425, 1997.

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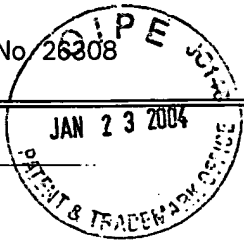
*Joseph Smith*

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Examiner Initial	DOCUMENT NUMBER	Date	Name	Class	Subclass	Filing Date (If Appropriate)
12	5,078,741	Jan 92	Bramm et al.			
	5,079,467	Jan 92	Dorman			
	5,092,844	Mar 92	Schwartz et al.			
	5,092,879	Mar 92	Jarvik			
	5,098,256	Mar 92	Smith			
	5,112,200	May 92	Isaacson et al.			
	5,112,202	May 92	Oshima et al.			
	5,112,292	May 92	Hwang et al.			
	5,118,264	Jun 92	Smith			
	5,145,333	Sep 92	Smith			
	5,147,187	Sep 92	Ito et al.			

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### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
	WO 89/05668 ✓	Jun 89	WIPO			
	WO 89/07427 ✓	Aug 89	WIPO			
	WO 90/15640 ✓	Dec 90	WIPO			
	WO 91/01584 ✓	Feb 91	WIPO			
	WO 92/02263 ✓	Feb 92	WIPO			

### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)


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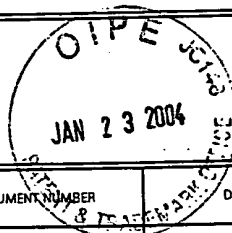
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Examiner Initial	DOCUMENT NUMBER	Date	Name	Class	Subclass	Filing Date (If Appropriate)
J2	5,147,388	Sep 92	Yamazaki			
	5,167,223	Dec 92	Koros et al.			
	5,167,623	Dec 92	Cianci et al.			
	5,174,726	Dec 92	Findlay			
	5,195,877	Mar 93	Kletschka			
	5,205,721	Apr 93	Isaacson			
	5,209,650	May 93	Lemieux			
	5,234,456	Aug 93	Silverstrini			
	5,275,580	Jan 94	Yamazaki			
	5,282,849	Feb 94	Kolff et al.			
	5,290,227	Mar 94	Pasque			

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DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
WO 92/03181 ✓	Mar 92	WIPO			
WO 92/06297 ✓	Apr 92	WIPO			
WO 93/07388 ✓	Apr 93	WIPO			
WO 93/20860 ✓	Oct 93	WIPO			
WO 94/06486 ✓	Mar 94	WIPO			

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)


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*Joseph S. L.*

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Examiner Initial	DOCUMENT NUMBER	Date	Name	Class	Subclass	Filing Date (if Appropriate)
JN	5,295,958	Mar 94	Shturman			
	5,324,177	Jun 94	Golding et al.			
	5,326,344	Jul 94	Bramm et al.			
	5,344,443	Sep 94	Palma et al.			
	5,360,317	Nov 94	Clausen et al.			
	5,368,438	Nov 94	Raible			
	5,370,509	Dec 94	Golding et al.			
	5,370,610	Dec 94	Reynolds			
	5,376,114	Dec 94	Jarvik			
	5,380,276	Jan 95	Miller et al.			
	5,385,581	Jan 95	Bramm et al.			

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
WO 94/09274	Apr 94	WIPO			
WO 94/09835 ✓	May 94	WIPO			
WO 94/13955 ✓	Jun 94	WIPO			
WO 95/00185 ✓	Jan 95	WIPO			
WO 95/28185	Oct 95	WIPO			

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*Joseph S. Miller*

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JK	5,393,207	Feb 95	Maher et al.			
	5,399,074	Mar 95	Nose et al.			
	5,399,145	Mar 95	Ito et al.			
	5,441,535	Aug 95	Takahashi et al.			
	5,443,503	Aug 95	Yamane			
	5,456,667	Oct 95	Ham et al.			
	5,470,208	Nov 95	Ketschka			
	5,478,309	Dec 95	Sweezer et al.			
	5,480,380	Jan 96	Martin			
	5,503,615	Apr 96	Goldstein			
	5,507,629	Apr 96	Jarvik			

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DOCUMENT NUMBER	DATE	COUNTRY	Class	Subclass	Translation Yes No
WO 96/18358	Jun 96	WIPO			
WO 97/40751	Nov 97	WIPO			
5071492	03/1993	JP			

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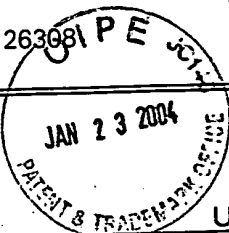
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12	5,527,159	Jun 96	Bozeman, Jr. et al.			
	5,531,789	Jul 96	Yamazaki et al.			
	5,558,634	Sep 96	Mitchell			
	5,575,630	Nov 96	Nakazawa et al.			
	5,588,812	Dec 96	Taylor et al.			
	5,613,935	Mar 97	Jarvik			
	5,647,358	Jul 97	Vilasi			
	5,695,471	Dec 97	Wampler			
	5,707,218	Jan 98	Maher et al.			
	5,727,569	Mar 98	Benetti et al.			
	5,765,568	Jun 98	Sweezer Jr. et al.			

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*John S. M. L.*

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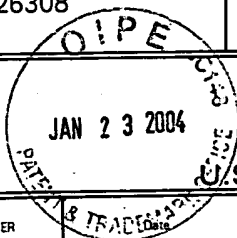
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	4,955,856	Sep 90	Phillips			
	5,100,383	Mar 92	Lichtenstein			
	5,112,349	May 92	Summers et al.			
	5,718,678	Feb 98	Fleming III			
	5,755,784	May 98	Jarvik			
	5,785,686	Jul 98	Runge			
	4,944,748	Jul 90	Bramm, et al.			
	5,040,944	Aug 91	Cook			

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<input checked="" type="checkbox"/>	Daily, Bill B., et al. "Pierce-Donarchy Pediatric VAD: Process in Development", Annals of Thoracic Surgery; 61:437-443, 1996.
<input checked="" type="checkbox"/>	Golding, Leonard A.R., et al., "The Cleveland Clinic Rotodynamic Pump Program", Artificial Organs, vol. 20, No. 6, pp. 481-484, 1996.
<input checked="" type="checkbox"/>	Hart, Robert M., et al., "A Magnetically Suspended and Hydrostatically Stabilized Centrifugal Blood Pump", Artificial Organs, vol. 20, No. 6, pp. 591-596, 1996.

EXAMINER

*Joseph S. L.*

DATE CONSIDERED

3/2/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this of with next communication to applicant.